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EU ING OR 371 (c) DATE APPLICATION NUMBER

ATTORNEY DOCKET NUMBER

10/750,162 30/2003

AUG 0 9 2004

FIRST NAMED APPLICANT Martin Buehler

CIT001

28848

TOPE-MCKAY & ASSOCIATES 23852 PACIFIC COAST CINCHINA **MALIBU, CA 90265**

CONFIRMATION NO. 5031 FORMALITIES LETTER

OC00000012536877

Date Mailed: 05/06/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 385 to complete the basic filing fee for a small entity.
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$709 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1159 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$709

• \$43 for 1 independent claims over 3.

■ \$666 for 74 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

C. c dec

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
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| FEETR | AN | SMIT | TAL |
|-------|----|------|-----|
| for | FY | 2004 | |

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Under the Paperwood

| (\$) | 1 | ,214.00 | |
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|---|------------------|--|--|--|
| Co | omplete if Known | | | |
| Application Number | 10/750,162 | | | |
| Filing Date | 12/30/2004 | | | |
| First Named Inventor | BUEHLER | | | |
| Examiner Name | | | | |
| Art Unit | | | | |
| Attorney Docket No. | ICIT001 | | | |

| METHOD OF PAYMENT (check all that apply) | | FEE CALCULATION (continued) | | | | | | | |
|--|-------------------------|---|------------------|-------------|--------------|---|---|---|----------|
| Check ✓ Credit card Money Other None | | 3. ADDITIONAL FEES | | | | | | | |
| Deposit Account: | | | Entity | | | | | | |
| Deposit | | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Account Number | | | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | 65.00 |
| Deposit Account | | | | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| Name | e authorized | to: (check all that apply) | | 1053 | 130 | 1053 | 130 | Non-English specification | |
| Charge fee | | | overpayments | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| = ' | • • | e(s) or any underpayment o | | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| Charge fee | (s) indicated | below, except for the filing | fee | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after | |
| to the above-id | lentified depo | sit account. | | 4054 | 440 | 0054 | | Examiner action | 55.00 |
| | FEE | CALCULATION | | 1251 | 110 | 2251 | | Extension for reply within first month Extension for reply within second month | |
| 1. BASIC F | ILING FEE | • | · | 1252 | 420 | 2252 | 210 | , , | |
| Large Entity S | Small Entity Fee Fee | Fee Description | Fee Paid | 1253 | 950 | 2253 2254 | 475 | Extension for reply within third month | |
| | Code (\$) | rec bescription | | 1254 | | | 740 | Extension for reply within fourth month | |
| 1001 770 | 2001 385 | Utility filing fee | 385.00 | | 2,010 | 2255 | ., | Extension for reply within fifth month | |
| 1002 340 | 2002 170 | Design filing fee | | 1401 | 330 | 2401 | | Notice of Appeal | |
| 1003 530 | 2003 265 | Plant filing fee | | 1402 | 330 | 2402 | | Filing a brief in support of an appeal | |
| 1004 770 | 2004 385 | Reissue filing fee | | 1403 | 290 | 2403 | | Request for oral hearing | |
| 1005 160 | 2005 80 | Provisional filing fee | | 1 | 1,510 | 1451 | • | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) 385.00 | | 85.00 | 1452 | 110 | 2452 | | Petition to revive - unavoidable | - | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | | 1 | 1,330 | 2453 | | Petition to revive - unintentional | | | |
| Z. CATRA | JEANNI E | Fee fro | om | 1 | 1,330 | 2501 | | Utility issue fee (or reissue) | |
| Extra Claims Delow Fee Paid | | | 1502 | 480 | 2502 | | Design issue fee | | |
| | | 43 | 1503 | 640 | 2503 1460 | | Plant issue fee Petitions to the Commissioner | | |
| Claims Multiple Depe | | | T = | 1460 | 130 50 | 180 | | | |
| Large Entity | Small Enti | tv | | 1807 | | | | Processing fee under 37 CFR 1.17(q) | |
| Fee Fee | Fee Fee | Fee Description | | 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt Recording each patent assignment per | |
| Code (\$) 1202 18 | Code (\$) 2202 | 9 Claims in excess of 20 | , | 8021 | 40 | 802 | 1 40 | property (times number of properties) | |
| 1202 18 | | 43 Independent claims in | | 1809 | 770 | 2809 | 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1203 290 | 2203 1 | 45 Multiple dependent cla | aim, if not paid | 1810 | 770 | 2810 | 385 | For each additional invention to be | |
| 1204 86 2204 43 ** Reissue independent claims over original patent | | 1801 | 770 | 2801 | 385 | examined (37 CFR 1.129(b)) Request for Continued Examination (RCE) | | | |
| 1205 18 | 2205 | 9 ** Reissue claims in eand over original par | | 1802 | 900 | 1802 | | • | |
| | | Other fee (specify) | | | | | | | |
| **or numbe | | UBTOTAL (2) (\$) paid, if greater; For Reissues | | *Redu | uced by | Basic | Filing F | ee Paid SUBTOTAL (3) (\$) 120.0 | 00 |
| J | | , g, , | ., | | | | | | |

(Complete (if applicable)) SUBMITTED BY Registration No. CARY TOPE-MCKAY 41,350 Telephone 310.589.8158 Name (Print/Type) Date Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. AUG 0 9 2004 B

Signature

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| Application Number | 10/750,162 | | | | |
|------------------------|------------|--|--|--|--|
| Filing Date | 12/30/2003 | | | | |
| First Named Inventor | BUEHLER | | | | |
| Group Art Unit | | | | | |
| Examiner Name | | | | | |
| Attornov Dookst Number | CIT001 | | | | |

| Total Number of Pages in This Su | ubmission Attorney Docket Number | er CIT001 | | | |
|--|--|---|--|--|--|
| | ENCLOSURES (check | k all that apply) | | | |
| Fee Transmittal Form | Assignment Papers (for an Application) | After Allowance Communication to Group | | | |
| Fee Attached | Drawing(s) | Appeal Communication to Board of Appeals and Interferences | | | |
| Amendment / Reply | Licensing-related Papers | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | |
| After Final | Petition. Petition to Convert to a | Proprietary Information | | | |
| Affidavits/declaration(s) | Provisional Application | Status Letter | | | |
| Extension of Time Request | Power of Attorney, Revocation Change of Correspondence Address | Other Enclosure(s) (please identify below): | | | |
| Express Abandonment Request | Terminal Disclaimer Request for Refund | | | | |
| Information Disclosure Statement | CD, Number of CD(s) | | | | |
| Certified Copy of Priority Document(s) | Remarks | | | | |
| Response to Missing Parts/ Incomplete Application | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | |
| SIGN/ | ATURE OF APPLICANT, ATTORNEY, OR | R AGENT | | | |
| Firm or CARY TOP Individual name | 'E-MCKAY | | | | |
| Signature | | | | | |
| Date 08/04/2004 | | | | | |
| CERTIFICATE OF MAILING | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: | | | | | |
| Typed or printed name CARY TO | OPE-MCKAY | | | | |

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Date